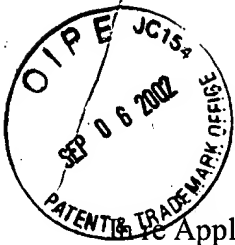


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ATTORNEY DOCKET NO.: SCH-92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Derek Booth et al.

Serial No.: 09/956,326

Filed: September 28, 2001

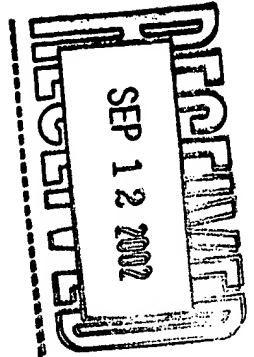
Confirmation No.: 3174

For: Interactive System For Managing And  
Remotely Connecting Customer Utility  
Loads

Examiner: UNKNOWN

Art Unit: 2645

Our Account No. 04-1403



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The attached Supplemental Information Disclosure Statement is submitted for the Examiner's consideration in accordance with the Applicants' duty of disclosure.

Although the documents provided in this Supplemental Information Disclosure Statement are submitted for the Examiner's convenience, Applicants do not admit of their relevance as prior art to the present application under 35 U.S.C. §102 and §103 nor of their pertinence in other respects.

Applicants note that one of the references listed on this statement corresponds to a PCT International Search Report. Such search report (completed June 30, 2002) cited three references, namely U.S. Patent Nos. 6,018,726, 5,699,276 and 6,219,409, which patents are also

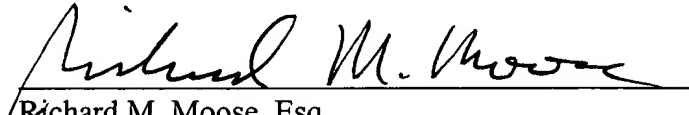
cited as separate references in the subject statement. Please refer to the Search Report itself for information regarding alleged relevance of these three patents to the present application.

The Examiner is encouraged to contact the undersigned at his convenience should he have any questions regarding this matter or require any additional information.

Respectfully submitted,

DORITY & MANNING, P.A.

Date: September 6, 2002

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In re Application of: Derek Booth, et al.

Attorney Docket No.: SCH-92

Serial No.: 09/966,326

Date: September 6, 2002

Filed: September 28, 2001

Art Unit: 2645

Confirmation No.: 3174

Our Account No.: 04-1403

Title: Interactive System For Managing And Remotely Connecting Customer Utility Loads

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

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SEP 10 2002  
Technology Center 2600

Sir:

The following is a Supplemental Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
41 item(s)
- c.[ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

[ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Supplemental Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[ ] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
  - i.[ ] Certification per Rule 97(e); OR
  - ii.[ ] Filing Fee per Rule 17(p) .....\$180.00
- c.[ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
  - i. Certification per Rule 97(e); AND
  - ii. Filing fee per Rule 17(p) .....\$180.00

3.[ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[ ] That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[ ] That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
Address: \_\_\_\_\_

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[x] CERTIFICATE OF MAILING: This Supplemental Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a. [ ] First Class Mail Certificate of Mailing under Rule 8:

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on \_\_\_\_\_

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b.[x] "Express Mail" Certificate under Rule 10:

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I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patent, U.S. Patent and Trademark Office, Washington, D.C. 20231.

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DORITY & MANNING, P.A.

By: Richard M. Moose, Esq.

Reg. No.: 31,276

Signature: Richard M. Moose

Date: September 6, 2002